

PTO/SB/17 (10-04)

Approved for use through 07/31/2008. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL
for FY 2005**

Effective 10/01/2004, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 110.00

Complete if Known

Application Number	10/604,245
Filing Date	07/04/2003
First Named Inventor	Wen-Huang Liu
Examiner Name	Hu, Shoudang
Art Unit	2811
Attorney Docket No.	KYCP0010USA

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number: 50-3105
Deposit Account Name: North America Intellectual Property Corp.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 780	2001 385	Utility filing fee	
1002 350	2002 175	Design filing fee	
1003 550	2003 275	Plant filing fee	
1004 790	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ 0.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	20** =	X	
Multiple Dependent	3** =	X	

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 88	2201 44	Independent claims in excess of 3
1203 300	2203 160	Multiple dependent claim, if not paid
1204 88	2204 44	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIF prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIF after Examiner action	
1251 110	2251 55	Extension for reply within first month	110.00
1252 430	2252 215	Extension for reply within second month	
1253 980	2253 490	Extension for reply within third month	
1254 1,530	2254 765	Extension for reply within fourth month	
1255 2,080	2255 1,040	Extension for reply within fifth month	
1401 340	2401 170	Notice of Appeal	
1402 340	2402 170	Filing a brief in support of an appeal	
1403 300	2403 150	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1801 1,370	2501 685	Utility issue fee (or reissue)	
1802 490	2502 245	Design issue fee	
1503 680	2503 330	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Sheet	
6021 40	6021 40	Recording each patent assignment per property (times number of properties)	
1809 790	2809 395	Filing a submission after final rejection (37 CFR 1.129(e))	
1810 780	2810 395	For each additional invention to be examined (37 CFR 1.129(b))	
1801 790	2801 395	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 110.00

SUBMITTED BY

Name (Print/Type)

Winston Hsu

Registration No.

(Attorney/Agent)

41,526

Telephone 866-2-89297360

Signature

Winston Hsu

Date

OCT 8 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-0190 and select option 2.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Wen-Huang Liu, et al.
5 Examiner: Shouxiang Hu
Serial No.: 10/604,245
Filing Date: 07/04/2003
Art Unit: 2811
Docket No.: KYCP0010USA
10 Confirmation No.: 1244 Customer No.: 27765

Title: LIGHT EMITTING DIODE HAVING AN ADHESIVE LAYER AND A
REFLECTIVE LAYER AND MANUFACTURING METHOD THEREOF

15 To: Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

Subject: Request for Deduction

20

Dear sir,

The applicant hereby request for a deduction in a amount of \$ 55.00 for the above
identified application, which represents the extension fee for the "Response to Office
25 action mailed on 6/30/2004" submitted by the applicant on 10/28/2004.

The said extension fee indicated by the applicant on the fee transmittal form was
in a amount of \$ 110.00. The applicant had filed a notification of loss of entitlement to
small entity status on 09/07/2004, and the PAIR record also showed that the Office
30 have received this statement. In light of this, the extension fee for the said
reply/amendment should be \$ 110.00, but the Deposit Account Branch just deducted \$
55.00 from the deposit account 50-3105.

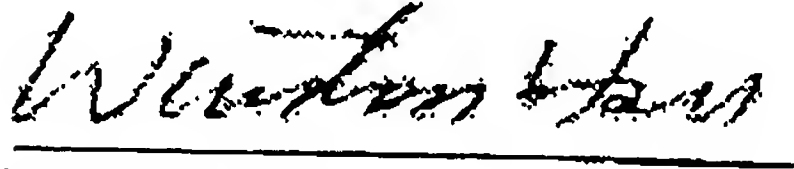
In order to match the accounting principle and avoid the disputes in the future, the applicant respectfully request for a deduction in the amount of \$ 55.00 from the deposit account 50-3105.

5

The copies of the statement of the notification of loss of entitlement to small entity status, the PAIR pages and the deposit account statement are enclosed herewith.

Sincerely yours,

10



Date: 11/15/2004

Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506

Merrifield, VA 22116

15 U.S.A.

Facsimile: 806-498-6673

Voice mail: 302-729-1562

e-mail: winstonhsu@naipo.com

(Please contact me by voice mail if you need a telephone communication.)

20



IFW / JAI

Approved for use through 04/30/2003, OMB 0451-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10804345	
	Filing Date	2003/7/4	
	First Named Inventor	Wen-Huang Liu	
	Art Unit	2811	
	Examiner Name	HU, SHOUXIANG	
Total Number of Pages in This Submission	4	Attorney Docket Number	KYCPO010USA

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Notification of loss of entitlement to small entity status according to 37 CFR 1.27 (d)(2)
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Winston Hsu, Reg. No.: 41,526
Signature	<i>Winston Hsu</i>
Date	7/6/2004

CERTIFICATE OF TRANSMISSION/MAILING	
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.	
Typed or printed name	
Signature	Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$ 0.00)

Complete if Known	
Application Number	10/604,245
Filing Date	2003/7/4
First Named Inventor	Wen-Huang Liu
Examiner Name	HU, SHOUXIANG
Art Unit	2811
Attorney Docket No.	KYCP001QUSA

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit
Account
Number
Deposit
Account
Name

50-3105

North America Intellectual Property Corp.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

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1002 340	2002 170	Design filing fee	
1003 630	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ 0.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	20** =	X	
Multiple Dependent	3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 16	2202 8	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claims, if not paid
1204 86	2204 43	** Release independent claims over original patent
1205 16	2205 8	** Release claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

**For number previously paid, if greater. For Release, see above

FEE CALCULATION (continued)

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
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1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920	1804 920	Requesting publication of SIR prior to Examiner action	
1806 1,840	1806 1,840	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 280	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1480 130	1480 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
6021 40	6021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

SUBMITTED BY		(Complete if applicable)	
Name (Print/Type)	Winston Hsu	Registration No. (Attorney/Agent)	41,526
Signature	<i>Winston Hsu</i>	Telephone	886289237350
		Date	9/6/2004

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

If you need assistance in completing the form, call 1-800-PTO-0199 and select option 2.

**PATENT**
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wen-Huang Liu
Tzu-Feng Tseng
Min-Hsun Hsieh
Ting-Wei Yeh
Jen-Shui Wang

Examiner: HU, SHOUXIANG

Filing Date: 2003/7/4

App. No.: 10/604,245

Assignee: EPISTAR CORPORATION

Docket No.: KYCP0010USA

Title: LIGHT EMITTING DIODE HAVING AN ADHESIVE LAYER AND A
REFLECTIVE LAYER AND MANUFACTURING METHOD THEREOF

To: Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Subject: Notification of loss of entitlement to small entity status according to
37CFR 1.27 (g)(2)

Dear Sir:

This is a notification according to 37CFR 1.27 (g)(2) that the small entity status of the assignee indicated above is not longer appropriate. The employee number of the assignee has increased and is more than 500 persons recently. The assignee of the present application is thus no longer fit the small entity standards set forth in 13 CFR part 121.

Sincerely yours;

Winston Hsu Date: 9/6/2004

Winston Hsu

Patent Agent No. 41,526

5 P.O. BOX 506

Merrifield, VA 22116

U.S.A

e-mail: Winstonhsu@naipo.com

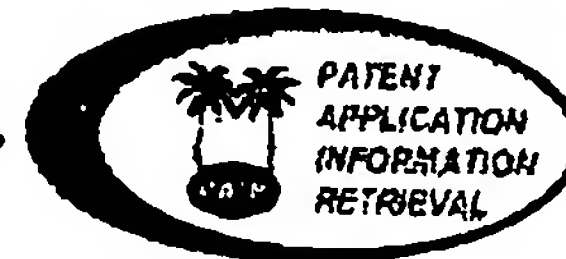
10 (Please contact me by e-mail if you need a telephone communication and I will return
your call promptly.)



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PATENT APPLICATION INFORMATION RETRIEVAL



Search results as of: 11-11-2004::1:58:55 E.T.

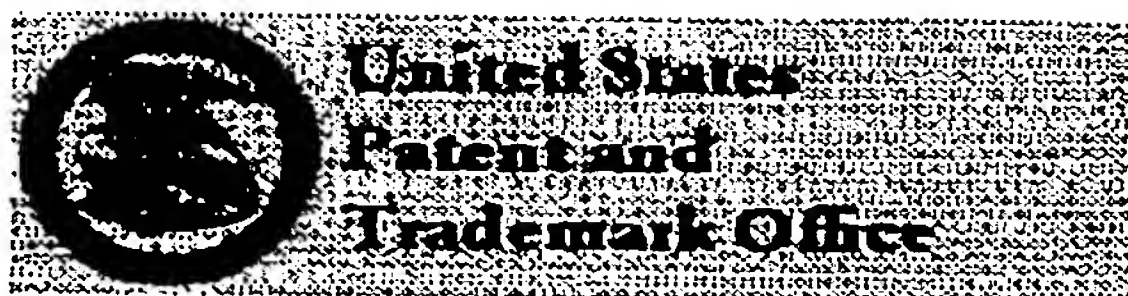
Search results for application number: 10/604,245			
Application Number:	10/604,245	Customer Number:	27765
Filing or 371(c) Date:	07-04-2003	Status:	Response to Non-Final Office Action Entered and Forwarded to Examiner
Application Type:	Utility	Status Date:	11-03-2004
Examiner Name:	HU, SHOUXIANG	Location:	ELECTRONIC
Group Art Unit:	2811	Location Date:	-
Confirmation Number:	1244	Earliest Publication No:	US 2004-0104393 A1
Attorney Docket Number:	KYCP0010USA	Earliest Publication Date:	06-03-2004
Class/ Sub-Class:	257/079	Patent Number:	-
First Named Inventor:	Wen-Huang Liu, Hsin-Chu City, (TW)	Issue Date of Patent:	-
Title Of Invention:	LIGHT EMITTING DIODE HAVING AN ADHESIVE LAYER AND A REFLECTIVE LAYER AND MANUFACTURING METHOD THEREOF		

Search Options

Assignments
Continuity Data
Display References
Foreign Priority
Image File Wrapper
Publication Review
Published Documents

File History	
Date	Contents Description
11-03-2004	Date Forwarded to Examiner
10-28-2004	Response after Non-Final Action
10-28-2004	Request for Extension of Time - Granted
09-08-2004	Statement Filed Indicating a Loss of Entitlement to Small Entity Status
06-30-2004	Mail Non-Final Rejection
06-28-2004	Non-Final Rejection
04-26-2004	IFW TSS Processing by Tech Center Complete
04-26-2004	Date Forwarded to Examiner
04-19-2004	Response to Election / Restriction Filed
04-19-2004	Request for Extension of Time - Granted

02-19-2004	Mail Restriction Requirement
02-12-2004	Requirement for Restriction / Election
01-15-2004	Case Docketed to Examiner in GAU
01-05-2004	Case Docketed to Examiner in GAU
09-15-2003	Application Return from OIPE
09-15-2003	Application Return TO OIPE
09-15-2003	Application Return from OIPE
09-15-2003	Application Is Now Complete
09-15-2003	Pre-Exam Office Action Withdrawn
09-15-2003	Application Return TO OIPE
09-15-2003	Application Dispatched from OIPE
09-15-2003	Application Is Now Complete
08-28-2003	Cleared by OIPE CSR
07-09-2003	IFW Scan & PACR Auto Security Review
07-04-2003	Initial Exam Team nn

**Deposit Account Statement**

Requested Statement Month: November 2004

Deposit Account Number: 503105

Name: NORTH AMERICA INTELLECTUAL PROPERTY CORPORATION

Attention: WINSTON HSU

Address: 5F NO.389 FU-HO RD

City: TAIPEI HSIEN

State:

Zip:

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
11/01	24	10248399	OTMP0042USA	1801	\$790.00	\$12,842.00
11/01	69	10904250	VASP0005USA	2001	\$395.00	\$12,447.00
11/01	70	10904250	VASP0005USA	8021	\$40.00	\$12,407.00
11/01	71	10904251	NAUP0633USA4	1001	\$790.00	\$11,617.00
11/01	85	10707241	ALIP0020USA	1051	\$130.00	\$11,487.00
11/02	2	10064049	CMOP0008USA	1251	\$110.00	\$11,377.00
11/02	13	09683803	CEIP0040USA	1501	\$1,370.00	\$10,007.00
11/02	14	09683803	CEIP0040USA	1504	\$300.00	\$9,707.00
11/02	15	PAYMENT		9203	-\$17,966.00	\$27,673.00
11/02	15	09682303	NAUP0303USA	1501	\$1,370.00	\$26,303.00
11/02	16	09682303	NAUP0303USA	1504	\$300.00	\$26,003.00
11/02	17	10904264	NAUP0639USA	1001	\$790.00	\$25,213.00
11/02	18	10904264	NAUP0639USA	8021	\$40.00	\$25,173.00
11/02	22	10904266	VIAP0138USA	1001	\$790.00	\$24,383.00
11/02	23	10904266	VIAP0138USA	8021	\$40.00	\$24,343.00
11/02	28	10904268	ACMP0153USA	1001	\$790.00	\$23,553.00
11/02	29	10904268	ACMP0153USA	8021	\$40.00	\$23,513.00
11/02	30	10904269	MSTP0003USA	2001	\$395.00	\$23,118.00
11/02	31	10904269	MSTP0003USA	8021	\$40.00	\$23,078.00
11/03	4	10804245	KYCP0010USA	2251	\$55.00	\$23,023.00
11/03	42	10904287	MTKP0189USA	1001	\$790.00	\$22,233.00
11/03	43	10904287	MTKP0189USA	1202	\$144.00	\$22,089.00
11/03	44	10904287	MTKP0189USA	8021	\$40.00	\$22,049.00
11/03	45	10904288	MTKP0147USA	1001	\$790.00	\$21,259.00
11/03	46	10904288	MTKP0147USA	8021	\$40.00	\$21,219.00
11/03	56	10904292	ACMP0139USA	1001	\$790.00	\$20,429.00
11/03	57	10904292	ACMP0139USA	8021	\$40.00	\$20,389.00
11/03	67	10904298	PIIP0006USA	2001	\$395.00	\$19,994.00
11/03	68	10904298	PIIP0006USA	8021	\$40.00	\$19,954.00
11/03	69	60522751	REAP0190USA	1005	\$160.00	\$19,794.00
11/03	70	10904299	MTKP0097USA	1001	\$790.00	\$19,004.00
11/03	71	10904299	MTKP0097USA	8021	\$40.00	\$18,964.00
11/03	73	10904300	MTKP0113USA	1001	\$790.00	\$18,174.00
11/03	74	10904300	MTKP0113USA	8021	\$40.00	\$18,134.00

**NORTH AMERICA
INTERNATIONAL PATENT OFFICE**

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

Voice Mail: 302-729-1562 FAX: 806-498-6673 e-mail: winstonhsu@naipo.com

FAX TO: Deposit Account Branch

Tel: (703) 305-4631

Fax: (703) 308-6778

FROM : Winston Hsu, PATENT AGENT, REG. NO. : 41,526

SERIAL NO. : 10/604,245

ATTORNEY DOCKET NO.: KYCP0010USA

SUBJECT: REQUEST FOR A DEDUCTION

TOTAL PAGES : 11 PAGES (INCLUDING COVER PAGE)

Winston Hsu 2004/11/15

PTO/SB/97 (09-04)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on 11/15/2004
Date

Sheryl Lee

Signature

Sheryl Lee

Typed or printed name of person signing Certificate

Voice Mail: 302-729-1562

Registration Number, if applicable

Telephone Number

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

(1) Request for Deduction	2 PAGES
(2) Copy of Notification of loss entitlement to small entity Status	4 PAGES
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